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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/838,266	04/20/2001	Ryosuke Higashikata	046601-5089	9290
9629	7590	10/06/2005	EXAMINER	
MORGAN LEWIS & BOCKIUS LLP 1111 PENNSYLVANIA AVENUE NW WASHINGTON, DC 20004			GRANT II, JEROME	
			ART UNIT	PAPER NUMBER

2626

DATE MAILED: 10/06/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Supplemental  
Notice of Allowability**

Application No.

09/838,266

Examiner

Jerome Grant II

Applicant(s)

HIGASHIKATA ET AL.

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**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to the amendment received Feb. 16, 2005.
2. ☒ The allowed claim(s) is/are 3-35.
3. ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All b) ☐ Some\* c) ☐ None of the:
  1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
  - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/08), Paper No./Mail Date \_\_\_\_\_
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☐ Interview Summary (PTO-413), Paper No./Mail Date \_\_\_\_\_
7. ☒ Examiner's Amendment/Comment
8. ☐ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_

**JEROME GRANT II  
PRIMARY EXAMINER**

**Supplemental**

**Examiner's Amendment**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Robert Goodell on Aug. 1, 2005.

**In the claims:**

6. (Currently Amended by the Examiner) A color processing method used for transforming an arbitrary input color signal in an input color space to a four-color signal including a black component, the method comprising the steps of:

generating a first set of plural optimal K that satisfy a coverage restriction corresponding to plural representative color signals of the input color signal that belong to a partial color space reproducible with three colors and a second set of plural optimal K corresponding to plural representative color signals of the input color signal that belong to a curved plane being reproducible with four colors including black and satisfying a coverage restriction;

predicting an optimal K corresponding to the input color signal in the input color space based on a model generated from plural pairs of the representative color signals in the input color space and the first or second set of plural optimal K; and

predicting amounts of three colors except black from the predicted optimal K and the input color signal to calculate the four-color signal including the black component, wherein K is an amount of a black component.

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8. (Twice Amended by the Examiner) The color processing method according to claim 4, wherein, in the case where K that is calculated by multiplying an achromatic K corresponding to one of the representative color signals by a K control parameter corresponding to the representative color signals satisfies the coverage restriction, the calculated K is used as the third set of plural optimal K, and on the other hand, in the case where K that is calculated by multiplying an achromatic K corresponding to the representative color signal by a K control parameter corresponding to the representative color signal does not satisfy the coverage restriction, K that is calculated by searching between the achromatic K corresponding to the representative color signal and K that is calculated by multiplying an achromatic K corresponding to the representative color signal by the K control parameter corresponding to the representative color signal is used as the third set of plural optimal K.

9. (Twice Amended by the Examiner). The color processing method according to claim 5, wherein, in the case where K that is calculated by multiplying an achromatic K corresponding to the representative color signal by a K control parameter corresponding to the representative color signal satisfies the coverage restriction, the calculated K is used as the first set of plural optimal K, and on the other hand, in the case where K that is calculated by multiplying an achromatic K corresponding to one of the representative color signals by a K control parameter corresponding to the representative color signal does not satisfy the coverage restriction IC that is calculated by searching between the achromatic K corresponding to one of the representative color signals and K that is calculated by multiplying the achromatic K corresponding to the representative color signals by the K control parameter corresponding to the representative color signal is used as the first set of plural optimal K.

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11. (Currently Amended by the Examiner) The color processing method according to claim 3, wherein the curved plane corresponding to the second set of plural optimal K is an outermost periphery surface of a color gamut that is reproducible with four colors including black and satisfies the coverage restriction.

26. (Currently Amended by the Examiner) A computer-readable recording medium that stores a program that makes a computer execute the steps of:

generating a first set of plural optimal K corresponding to plural representative color signals an input color signal that belong to a partial color space reproducible with three colors and a second set of plural optimal K corresponding to plural representative color signals of the input color signal that belong to a curved plane being reproducible with four colors including black and satisfying a coverage restriction;

predicting an optimal K corresponding to an input color signal in the input color space based on a model generated from plural pairs of the representative color signals in the input color space and the first or second set of plural optimal K;

and predicting amounts of three colors except black from the predicted optimal K and the input color signal to calculate the four-color signal including the black component, wherein K is an amount of the black component.

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29. (Twice Amended by the Examiner) A color processing apparatus used for generating a four-color signal including a black component from an arbitrary input color signal in an input color space, the apparatus comprising:

an optimal K calculation part that predicts an optimal K corresponding to the color signal in the input color space based on a model generated from plural pairs of a representative color signal of the input color signal in the input color space and the optimal K corresponding to the representative color signal; and

a four-color signal calculation part that predicts amounts of three colors except black from the optimal K predicted by the optimal K calculation part and the input color signal to thereby calculate a four-color signal including the black component, wherein the optimal K calculation part uses plural representative color signals that belong to a partial color space that is a color gamut reproducible with three colors and plural representative color signals that belong to a curved plane that is reproducible with four colors including black and satisfies the coverage restriction, and wherein K is an amount of the black component.

32. (Twice Amended by the Examiner) A color processing apparatus used for generating a four-color signal including a black component, from an arbitrary input color signal in a input color space, the apparatus comprising:

an optimal K calculation part that predicts an optimal K corresponding to the input color signal in the input color space based on a model generated from plural pairs of a representative color signal in the input color space and an optimal K corresponding to the representative color signal; and

a four-color signal calculation part that predicts amounts of three colors except black from the optimal K predicted by the optimal K calculation part and the input color signal to thereby calculate a four-color signal including the black component,

wherein the optimal K calculation part uses plural representative color signals that belong to a partial color space that is a color gamut reproducible with three colors and satisfies the coverage restriction, and plural representative color signals that belong to a curved plane that is reproducible with four colors including black and satisfies the coverage restriction.



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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jerome Grant II whose telephone number is 571-272-7463. The examiner can normally be reached on Mon.-Thurs. from 9:00 to 5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kimberly A. Williams, can be reached on 571-272-7471. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



J. Grant II

JEROME GRANT II  
PRIMARY EXAMINER